## **Exhibit AA**

## Filed Under Temporary Seal

## UNITED STATES DISTRICT COURT DISTRICT OF MINNESOTA

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Michelle Simha, as Trustee for the Next-of-Kin of Noah Leopold,

Civil File No.
Plaintiff, 24-CV-01097-JRT-DTS

vs.

Mayo Clinic,

Defendant.

## DEPOSITION OF NATHAN PRINCE

Volume I, Pages 1 - 69
August 13, 2024

(The following is the deposition of Nathan Prince, taken pursuant to Notice of Taking Deposition, via video, at Mayo Clinic, Legal Department, 100 2nd Street SW, Rochester, Minnesota, commencing at approximately 9:09 a.m., August 13, 2024.)

	Page 2		Page 4
1	APPEARANCES:	1	there anything you want to correct about that?
2	On Behalf of the Plaintiff:	2	MR. THOMPSON: Yeah. No, I think
3	Brandon Thompson	3	that's an accurate recitation of our discussion.
4	CIRESI CONLIN LLP 225 South Sixth Street	4	MR. BRANTINGHAM: Okay. So that's that
_	Suite 4600	5	issue.
5	Minneapolis, Minnesota 55402	6	The second issue has to do with Mayo's
6	On Behalf of the Defendant:	7	production of emails responsive to plaintiff's
7	Andrew Brantingham DORSEY & WHITNEY LLP	8	document requests. We have been working on that
8	50 South Sixth Street	9	issue and communicating on that issue over the
	Suite 1500	10	last several days. In responding to plaintiff's
9	Minneapolis, Minnesota 55402	11	
10 11	ALSO PRESENT:		document requests we engaged Mayo's internal
11	Ron Huber, Videographer Anna C. Messerly, Ciresi Conlin	12	discovery group, as we typically do, to search
12	Maggie Palmisano, Ciresi Conlin (via Zoom)	13	for responsive emails and produced those that
	Michelle Simha (via Zoom)	14	we that we found. We learned last week in
13	Norman Leopold (via Zoom)	15	in meetings to prepare for these depositions of
14	Karen Leopold (via Zoom) Jenna Shulman (via Zoom	16	a couple of emails that had not been located in
15	Jama Shuilian (via Zooni	17	that search which led, I think, Mr. Thompson to
16	EXAMINATION INDEX	18	inquire, and led us to inquire, as to why those
17	WITNESS EXAMINED BY PAGE	19	were not found in the original searches. It is
18	Nathan Prince Mr. Thompson 8	20	now we've now been able to discern, and I
19 20		21	don't have all the information on this yet, that
21		22	there needs to be broader searches, and we're
22		23	running those searches to ensure complete
23		24	production. I, as I said, I don't yet know what
24		25	all the outcome of that will be, but we're
25			an the outcome of that will be, but we le
	Page 3		Page 5
1	Page 3 PROCEEDINGS	1	
		1 2	working on it literally as we speak. I do think
2	PROCEEDINGS MR. BRANTINGHAM: So we're on the	2	working on it literally as we speak. I do think there's some possibility that a few additional
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2 (Pages 2 to 5)

	Page 6		Page 8
1	MR. BRANTINGHAM: Okay.	1	good.
2	MR. THOMPSON: Is that recording?	2	MS. SHULMAN: I do believe Norman and
3	MR. BRANTINGHAM: I have no idea.	3	Karen are now with Michelle. Is that correct?
4	MR. THOMPSON: It might be	4	MS. SIMHA: No. No, they're not here
5	Maybe let's find that out, and also	5	yet, but
6	find out if I doubt there's audio, but we've	6	MR. THOMPSON: That's okay.
7	been having conversations in here during breaks	7	MR. SIMHA: they should be in the
8	and things like that, so it would be good to	8	room.
9	know, especially in light of the hullabaloo	9	MR. THOMPSON: Sounds good. All right.
10	about the video yesterday, if I'm being recorded	10	You guys go ahead and mute, and then we'll get
11	without my knowledge or consent. It would	11	started.
12	probably be good to know that, so	12	(Witness sworn.)
13	MR. BRANTINGHAM: Okay. Well I I	13	NATHAN PRINCE,
14	can assure you if you are, you won't have it	14	called as a witness, being first duly
15	sprung on you in a deposition. But I will find	15	sworn, was examined and testified as
16	out the answer to that question.	16	follows:
17	MR. THOMPSON: Okay.	17	EXAMINATION
18	MR. BRANTINGHAM: Okay. Thanks. I'll	18	BY MR. THOMPSON:
19	go get the witness and we will proceed.	19	Q. Good morning.
20	THE REPORTER: Okay. Off the record.	20	A. Good morning.
21	(Recess taken from 9:04 a.m. to 9:07	21	Q. Have you ever had your deposition taken
22	a.m.)	22	before?
23		23	A. This is my first.
24		24	Q. All right. So just a couple of quick
25		25	ground rules to make sure that we're on the same
	Page 7		Page 9
1	THE VIDEOGRAPHER: Good morning. We	1	page, we get a nice, clean record, and we kind
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Page 10 Page 12 1 job entails, please. 1 use? 2 A. So I work with patients from the moment 2 A. I do not make any of those decisions. 3 they are first referred for consideration of 3 There are standard parameters that kind of, as a 4 transplant through the point where they are 4 default, apply unless we are instructed 5 5 ultimately transplanted and handed off to the otherwise. 6 6 post-transplant team. So in between that time Q. Got it. 7 7 Are you part of the selection I, initially upfront, assist with record review 8 8 to see whether there are any reasons why upfront committee? 9 9 a patient may not be a candidate or -- I don't A. I am present. Yes. 10 10 make that call, but I -- I do some record review Q. Given your answer and some of the 11 to provide to our leadership who decide, you 11 things you said previously, I'm guessing you 12 12 don't really have decision-making authority at know, whether we can offer evaluation. Once 13 it's been determined a patient can come for 13 the selection committee, you're there as a 14 evaluation, I will place orders for the initial 14 resource. 15 15 evaluation when they come for that. I do a A. I would say I am free to voice any 16 teach session with them and then follow through 16 concerns. My opinion is valued by the team. 17 the process of the evaluation. Patients who --17 I've never said that I am the sole disagreer on 18 18 at the end of the evaluation are determined something, so I'm not, you know -- or -- or the 19 19 whether they are going to be offered transplant sole proponent of something, you know. It's right away or whether it's something perhaps 20 20 taken as a group decision and I voice my 21 21 down the -- down the road that they could be a opinion, but I am probably a less-vocal member 22 candidate for or denied. If they're approved or 22 in the selection conference, but I am present 23 23 "deferred" is our term for being kind of in that and engaged. 24 inter -- interim period, I would follow along. 24 Q. How many people typically sit on the 25 I would be their primary contact person in 25 selection committee? Page 11 Page 13 1 1 general in the con -- in the transplant center Is it a committee or conference? I 2 and arrange for follow-up appointments. If they 2 want to make sure I'm getting the terminology 3 have questions, I would reach out or direct them 3 4 to the appropriate person. I'm --4 A. The committee is the group. We call it 5 5 So I'm basically the main contact selection conference is the -- the meeting. 6 6 person prior to the actual transplant. Not the Q. The process. Got it. 7 7 only person, but kind of the person who A. Yeah. There are --8 8 intercedes a lot of messages. So I take attendance as part of it. 9 Q. Is your role today different than it 9 Rough estimate would be, on an average week, 10 10 was in August of 2023? between 20 and 30 people. 11 A. It is not. 11 Q. Oh, wow. Okay. Just for heart 12 12 Q. Okay. Is one of the things that you do transplants. 13 13 as part of your role entering information into A. So --14 UNet? 14 That's a good question. I am a 15 15 A. It is. heart/lung transplant coordinator, and the lung 16 O. Some of the documents that we've been 16 transplant selection conference is at the same 17 provided in this case include -- I kind of refer 17 time, so we will present heart and lung patients 18 to it as like parameters for what sort of organs 18 at the same meeting. So there could be -- in 19 Noah Leopold will be willing to accept, like 19 that attendance would be pulmonologists as well. 20 this geographic range, and this weight to this 20 Q. Sure. Who, for somebody who's just 21 21 weight, and this age to this age. You're getting a solitary heart transplant, may or may 22 responsible for putting that information in 22 not weigh in on the conversation. 23 23 there? A. Correct. They just kind of sit in the 24 24 A. I do enter that information. background. If -- if there is a question that 25 25 Q. How would you decide what parameters to comes up regarding, you know, a general lung

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Page 14 Page 16 1 or -- you know, they might -- might answer 1 in the evaluation. I do not have anything to do 2 questions if asked as like a consultation. But 2 with consent for the actual transplant procedure. My -- my understanding is when an 3 generally, the -- the group that is not 3 4 present -- or if it's a -- a lung, it's the lung 4 offer is made, the -- one of the providers, 5 5 providers who are speaking. If it's a heart, whether the surgeon or the -- the medical 6 6 it's the heart providers who are speaking. cardiologist in the hospital, would go and 7 7 Q. Understood. obtain the -- the consent from them. 8 8 So one of your roles is to be an Q. Because you act as a point person or a 9 educator for the patients; right? 9 liaison for the patients, certainly you have a 10 10 lot of information about the patient and what A. That is correct. 11 O. In looking at a lot of the materials 11 their preferences are and what their concerns 12 that we've been provided in this case, it looks 12 are and things like that. Right? 13 like certainly the doctors answer the patients' 13 A. It varies from patient to patient based on how well I get to know them. 14 questions, but you're sort of -- I don't know if 14 15 15 it's a function of you have more time or that's Q. Sure. Do you remember Noah Leopold? 16 just kind of more of your role -- but it looks 16 A. Very well. 17 like you're delving a lot deeper into a lot of 17 Q. Would you agree with me that on the 18 18 spectrum of patients who had a lot of questions, these issues with patients. Am I right about 19 19 he would be a -- pretty far on the side of lots 20 20 of questions? A. I guess how I would phrase it is when 21 A. That would be accurate. messages come in, they're -- I'm kind of the 21 22 filter before the provider. So messages, even 22 Q. Can you think of a patient that you've 23 23 dealt with that had more involved and in-depth though someone sends in a message to a provider, 24 they get forwarded to me to kind of screen 24 questions than Noah Leopold did? 25 25 first. Not every question that comes in needs a A. As far as questions, I would say he was Page 15 Page 17 1 provider response. If I'm confident in my 1 probably the -- he -- I would, with confidence, 2 2 answer and do not feel the need to engage a say he had the most questions that I can recall 3 provider, I can take care of it. If it's 3 of my patients. 4 outside of my scope of practice, I will provide 4 Q. And for how long have you been doing 5 5 a little summary and my question, and then this job? 6 6 A. I will be in this position for three forward it on to a provider to give expert 7 7 opinion or advice. years at the end of this month. 8 Q. Would I be correct in assuming that 8 Q. How many patients do you think you've 9 sort of a formal ultimate informed-consent 9 acted as the liaison to at Mayo, roughly? 10 10 discussion would not be something that you would A. Two hundred maybe. That's just a 11 be doing with the patient? 11 ballpark. 12 MR. BRANTINGHAM: Object to form and 12 Q. No. That's fair. 13 13 foundation as to the definition of a "formal How knowledgeable are you about the --14 ultimate." Go ahead if you're able. 14 the process of what happens once an offer comes 15 15 A. Are you referring to informed consent 16 for the transplant procedure? 16 A. I have a vague 30,000-foot view of what 17 Q. Yeah. 17 happens. 18 A. Okay. I would have nothing to do with 18 Q. Okay. I'm going to delve into that a 19 that. I --19 little bit with you because you've got some 20 As part of my education, I -- and 20 understanding of it, and I'm trying to kind of 21 21 Noah's a little different because he started the get my head wrapped around the way that this 22 process before I was a transplant coordinator --22 process works. 23 23 but the informed consent I obtain from patients So number one, somebody's got to be 24 24 is saying that they want to proceed with the accepted and listed for transplant; right? 25 evaluation, that they understand what's involved 25 A. Correct.

5 (Pages 14 to 17)

Page 18 Page 20 1 Q. And then once they're listed for 1 not hear from him. When --2 transplant, data would be put into UNet sort of 2 In the months preceding to when we 3 to try to capture what sort of organ that person 3 decided it was time to list him for transplant, 4 would be offered. Is that fair? 4 things had been going worse for him back in 5 5 A. Yes, more or less. Florida, a lot of rhythm -- heart-rhythm issues, 6 6 Q. When I look at the parameters that were and he was reaching out on a regular basis. Not 7 7 entered for Noah Leopold, to a layperson it even necessarily for transplant-related things, 8 8 looks like an extraordinarily broad parameter. but just because he valued our opinion and 9 9 And so my question to you is: Is that wanted his transplant team back here in the 10 10 intentional? Is the goal to kind of cast a wide overall kind of aware of the situation and 11 net so that a lot of different offers will come 11 seeing if there's any other guidance they would 12 in so that the transplant doctors have lots of 12 give. So he definitely reached out to us as like a -- a source of confidence, but he did 13 options to choose from? 13 14 MR. BRANTINGHAM: Object to the form. 14 have a lot of questions. He was not hesitant to 15 15 Go ahead if you're able. voice those questions. So I received a lot of 16 A. That is my understanding. So the --16 messages from him throughout the -- probably the 17 the --17 eight months prior to transplant listing that I 18 18 My understanding is they have cast -worked with him closely with. 19 19 exactly, your words exactly -- cast a broad net. Q. Did you ever get the sense that there 20 It doesn't mean that they accept anything, it 20 was anything that the transplant team was asking 21 21 just means they want the opportunity to look at Noah to do that he wasn't doing? 22 22 MR. BRANTINGHAM: Foundation, but you 23 23 Q. Right. And that makes sense. can go ahead. 24 A. A lot of things do not meet criteria 24 A. I -- I think that he valued our opinion 25 and are immediately excluded from consideration; 25 and they gave it. He was an anxious gentleman, Page 19 Page 21 1 others have closer look. 1 so I think it took time for him to compute what 2 2 Q. Yeah. I mean, for example, the maximum the recommendations were. I -- I don't think 3 acceptable donor weight that was put into UNet 3 that he was forced to do anything, if that's 4 for Noah Leopold was 265 kilograms. 4 what you're asking. 5 5 A. Yes. I guess I don't -- could you --6 6 Q. Nobody was going to try to put the Q. Yeah. So let me just give you an 7 7 heart of a 500-some-pound person into Noah example. I'm guessing that --8 Leopold; right? 8 Well one of the things that you really 9 A. That is somewhat speculation on my 9 want transplant patients to do before their 10 10 point, but that was my -- that would be my transplant is eat a healthy diet and get 11 11 exercise and kind of get themselves in the best understanding. 12 12 shape that they can --Q. Yeah. Fair enough. 13 13 All right. Tell me in a nutshell --A. Uh-huh. 14 you said that you remember Noah very well --14 Q. -- for the transplant. Fair? 15 15 tell me what you remember about him. A. Yep. 16 A. So he had been followed by the program 16 Q. I'm guessing that there's some patients 17 for a number of years. When I took over in the 17 who, even though you tell them "Look, we really 18 role, he was already well established. What I 18 think you need to do this," they don't exactly 19 recall was I don't believe we actually saw him 19 follow through on your recommendations. Is that 20 20 super frequently when things were stable the 21 21 first year or two that I cared for him, but A. Sure. There's always issues with 22 22 when -- when he did reach out it was kind of certain patients' compliance. 23 23 usually in a barrage of a lot of questions, kind Q. Sure. And not just with diet and 24 24 of a -- a little period of intensity and a lot exercise, but lots of different things with 25 25 of attention given to his case, and then I would compliance; right?

6 (Pages 18 to 21)

	Page 22		Page 24
1	A. Yes.	1	have to do this together because we've only got
2	Q. Did you have any concerns along the	2	one copy it looks to me like there's actually
3	lines of compliance with Noah?	3	a nar this is not a cut-and-paste. This is a
4	A. I did not.	4	narrative that you put together at the top of
5	(Discussion off the record.)	5	the email; right?
6	BY MR. THOMPSON:	6	A. Yep. And so
7	Q. Okay. So one of the things that we	7	Q. Hold on.
8	received from Mayo in the course of this	8	MR. BRANTINGHAM: Let him finish the
9	lawsuit	9	question.
10	One of the things that happens in these	10	A. Oh, my my fault.
11	lawsuits is both sides get to make requests to	11	Q. Yeah. That's okay.
12	the other side for to have documents sent to	12	Just let me ask my questions
13	them, and as you imagine, we got tens of	13	A. Uh-huh.
14	thousands of pages of documents about Noah. One	14	Q and then I know you want to
15 16	of the things we got is an email that you sent	15	explain something, and that's great. We'll do
17	on Wednesday, August 16th to the DL Transplant Listing.	16 17	that in a sec, but we just got to make sure we get a clean record.
18	A. Listing. Yeah. I	18	So there's kind of a narrative at the
19	Q. What is that?	19	top that you put in; right?
20	MR. BRANTINGHAM: Can I get the Bates	20	A. That's correct.
21	number on that document?	21	Q. And then below that, starting here and
22	MR. THOMPSON: Oh, yeah. 25200.	22	going on to the next page,
23	A. So I I I know that date because I	23	A. Uh-huh.
24	looked up things before I came in. That would	24	Q that's the cut-and-paste stuff
25	have been the date in which I listed him for	25	that's coming out of the system; right?
	Page 23		D O E
	1490 23		Page 25
1	transplant in UNOS.	1	A. Correct.
2	transplant in UNOS.  Q. Yep. Who's on the DL RST Transplant	2	<ul><li>A. Correct.</li><li>Q. Okay. What were you trying to tell me</li></ul>
2	transplant in UNOS.  Q. Yep. Who's on the DL RST Transplant Center HL Transplant Listing?	2 3	A. Correct. Q. Okay. What were you trying to tell me before I so rudely cut you off?
2 3 4	transplant in UNOS.  Q. Yep. Who's on the DL RST Transplant Center HL Transplant Listing?  A. It's an enormous list. I couldn't tell	2 3 4	<ul><li>A. Correct.</li><li>Q. Okay. What were you trying to tell me before I so rudely cut you off?</li><li>A. I was going to basically say what you</li></ul>
2 3 4 5	transplant in UNOS.  Q. Yep. Who's on the DL RST Transplant Center HL Transplant Listing?  A. It's an enormous list. I couldn't tell you everyone.	2 3 4 5	<ul><li>A. Correct.</li><li>Q. Okay. What were you trying to tell me before I so rudely cut you off?</li><li>A. I was going to basically say what you were saying. Yep.</li></ul>
2 3 4 5 6	transplant in UNOS.  Q. Yep. Who's on the DL RST Transplant Center HL Transplant Listing?  A. It's an enormous list. I couldn't tell you everyone.  Q. Fair enough.	2 3 4 5 6	<ul> <li>A. Correct.</li> <li>Q. Okay. What were you trying to tell me before I so rudely cut you off?</li> <li>A. I was going to basically say what you were saying. Yep.</li> <li>Q. Gotcha.</li> </ul>
2 3 4 5 6 7	transplant in UNOS. Q. Yep. Who's on the DL RST Transplant Center HL Transplant Listing? A. It's an enormous list. I couldn't tell you everyone. Q. Fair enough. A. I believe when I send it out it says	2 3 4 5 6 7	<ul> <li>A. Correct.</li> <li>Q. Okay. What were you trying to tell me before I so rudely cut you off?</li> <li>A. I was going to basically say what you were saying. Yep.</li> <li>Q. Gotcha.</li> <li>A. Most of it is pulled in from from</li> </ul>
2 3 4 5 6	transplant in UNOS.  Q. Yep. Who's on the DL RST Transplant Center HL Transplant Listing?  A. It's an enormous list. I couldn't tell you everyone.  Q. Fair enough.  A. I believe when I send it out it says something like 84 recipients.	2 3 4 5 6	<ul> <li>A. Correct.</li> <li>Q. Okay. What were you trying to tell me before I so rudely cut you off?</li> <li>A. I was going to basically say what you were saying. Yep.</li> <li>Q. Gotcha.</li> <li>A. Most of it is pulled in from from prefilled fields in the medical record, but</li> </ul>
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2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22	transplant in UNOS.  Q. Yep. Who's on the DL RST Transplant Center HL Transplant Listing?  A. It's an enormous list. I couldn't tell you everyone.  Q. Fair enough.  A. I believe when I send it out it says something like 84 recipients.  Q. It's a  Whenever you list somebody for a transplant, it's kind of an email blast that goes out to anybody who that may be relevant to.  A. Correct.  Q. One of the things that you say in this email  I'm gathering you reviewed this email before your deposition.  A. I did not look closely at it. I mean the if it's the email that went for the listing, it's a standard email that gets filled in. It's a copy-and-paste from a document that's put in Epic or in the medical record	2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22	A. Correct. Q. Okay. What were you trying to tell me before I so rudely cut you off? A. I was going to basically say what you were saying. Yep. Q. Gotcha. A. Most of it is pulled in from from prefilled fields in the medical record, but that at that top, if there's any additional pertinent information, we will put in a little comment. Q. One of the things that came from the record is that a he's got a Karnofsky score of 30 percent. And I'll tell you there's other places in the record where his Karnofsky score, at admission, is listed at 90. A. So Q. Can you shed any light on the discrepancy? A. My I'd have to look at So when he was first admitted, I'm assum

7 (Pages 22 to 25)

Thompson Decl. Ex. AA

Page 26 Page 28 1 1 Q. I assume that you've been involved in fact that he was now attached to, you know, 12 2 EGC leads, a pump in his arm, an IV pole, his 2 caring for lots of patients who were status 2. 3 ability to get around without assistance was 3 A. Yes. 4 4 altered because of the fact that he was Q. On the spectrum of sort of overall 5 5 connected to so much machinery that really strength and how well they were doing, where 6 6 would Noah fall in terms of status 2 patients? required staff assistance, because it would put 7 7 MR. BRANTINGHAM: Form and foundation. him at risk if he was trying to do things 8 8 Go ahead if you're able. independently. 9 9 Q. Of pulling things out. A. It's difficult to say. He's -- he was 10 10 young, and I think the feeling was he had a A. Correct. 11 Q. Lines and things like that. 11 really bad heart, but because he was young he 12 12 had compensated, he might have looked better A. Exactly. 13 Q. So when this says "Karnofsky Score: 30% 13 than what his heart numbers really said. And 14 - Severely Disabled," nobody should interpret 14 that was the concern, that he was kind of a --15 15 that as being a comment on his like physical things could change at any time, and where he --16 16 he had gotten away without a lot of other status. 17 MR. BRANTINGHAM: Object to the form. 17 issues, that could quickly change. 18 18 I would be honest, there's a whole A. My --19 19 range. We've definitely had people with status Yes, I can understand how you read 20 that. It's more that hospitalization is 20 2s that are on balloon pumps who are sicker. 21 21 We've definitely had people who are similar to indicated. It's trying to fit the picture of 22 22 him. So I would say definitely leaning on the what's happening to a score that correlates. 23 23 health -- or on the -- like the more-fit side, But within that, you know, there's certain 24 people who are certainly sicker, more bedbound 24 but kind of middle of the pack on the fit side. 25 and confined who could also have a similar 25 Q. Got it. I appreciate that. Page 27 Page 29 1 1 One of the things that you say in this score. 2 2 Q. Yep. But that wasn't Noah; right? email to -- this email blast, "per discussion 3 A. Correct. 3 yesterday, he currently remains undecided about 4 4 Hep C+ and DCD donors and his listing reflects Q. He was actually, from a --5 5 I mean obviously his heart was bad this." Do you recall the discussion that you 6 6 had with Noah about his unwillingness -- or his because he needed a heart transplant, but 7 7 overall he was in pretty doggone good health undecidedness about --8 other than that; right? 8 A. Uh-huh. 9 MR. BRANTINGHAM: Foundation. 9 Q. -- those two categories of donor? 10 10 A. His heart was very sick, but he had A. I don't have a -- a specific 11 remained -- I wouldn't -- don't know if the word 11 recollection of the conversation. 12 12 is compensated, but he was, you know, still out Q. Okay. You go on to say, "His hope is 13 13 and about, had been able to do a lot of things that by being listed Status 2, he would get an 14 14 offer without these attributes." What does that of daily living. I know before he came in, one 15 15 of the questions he'd asked was whether he'd be mean? 16 able to do rehab and exercise, like these things 16 A. So he --17 were important to him and he would like --17 You know, like I said, he was -- he was 18 As you had pointed out, it's important 18 very cautious and I -- these topics had prob --19 to us to keep patients fit, and so I would 19 the topics of hepatitis C and -- and DCD had 20 agree, he -- he was -- had a sick heart, but he 20 likely been discussed with him prior to myself 21 21 was doing his best to be as fit as possible. with other providers, but it wasn't clearly 22 22 documented anywhere so I would have inquired Q. He was listed as a status 2

8 (Pages 26 to 29)

whether he had made a decision. Following that

discussion, he indicated that he was not ready

to make a decision and was feeling uncertain

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in place; right?

A. That is correct.

automatically because he had that balloon pump

Page 30 Page 32 1 about those donors. That's not uncommon. 1 a heart from a hep-C-positive donor that don't 2 Sometimes patients, you know, when they are 2 exist in the patient who doesn't have hepatitis 3 learning things, they're just overwhelmed with 3 C; right? 4 all the different things they need to consider 4 A. A known risk. Yeah. 5 5 and so they're not ready to make an answer. But Q. Sure. Ideally a patient would get a 6 6 it is something that in order -- on UNet it is heart that doesn't have the hepatitis C virus; 7 7 something that I need to select to opt into to right? 8 8 allow those particular donors to filter through A. I don't --9 so that they can be considered. If a patient 9 I think it's -- it's a challenging 10 10 hasn't clearly consented to that, I will not question because, you know, the reality is it's 11 open that -- up those fields in UNOS. 11 very difficult to find the very picture-perfect, 12 12 So when he said he hopes that he would no-risk-factors like heart. The -- we don't 13 be transplanted without it, he was status 2, so 13 want people dying on the waitlist because they 14 you -- patients are coming up high on the list 14 haven't been able to be transplanted. So it 15 15 in general because it's a high-urgency status, feel -- it is certainly something that takes 16 and my understanding was he hoped he didn't need 16 extra preparation and additional -- potentially 17 to make a decision about that and -- but 17 additional treatment, but the feeling is that 18 regarding --18 there is a good treatment plan should a patient 19 Q. Because in --19 contract hepatitis C where the -- the potential 20 Let's see if I can put it in kind of 20 risks are much less than the potential of having 21 21 layman's terms here to -to wait for extended periods of time to get a 22 Taking a DCD heart or hep C is sort of 22 23 a little bit of a compromise; right? 23 Q. But ultimately it's the patient's MR. BRANTINGHAM: Object to the form 24 24 decision to decide how they want to weigh those 25 25 and foundation. risks; right? Page 31 Page 33 1 Q. And if you don't understand what I'm 1 A. So a patient always has the ability to 2 saying --2 turn down an offer when it is accepted. If a 3 A. No. I understand what you're saying. 3 surgeon offers -- or accepts an offer that --4 4 you know, if -- if, in this hepatitis C example, I'm just --5 5 Q. Ideally you wouldn't have to take a DCD if a patient has consented to consider those 6 6 heart; right? offers and the surgical team feel this is a good 7 7 A. So I don't know if that's accurate. offer, the patient does have the -- the ability 8 It's the less-traditional donor group. It's 8 to turn down an offer. They -- that is 9 9 something that is granted them. something they've been doing more and more. 10 10 Q. Well of course. I mean the -- it's the My -- what I have been told is long-term 11 11 outcomes are similar, and so the -- the team patient's --12 12 feels that they're a -- some -- an option that A. So they get --13 13 should be considered. Whether it's a Q. The patient ultimately has the right --14 14 compromise, I do not know. All I know is that, MR. BRANTINGHAM: Hold on. 15 15 as a group, our team wants to have the option to Q. The patient ultimately has the right to 16 look at those offers. 16 decide when the doctor is going to cut their 17 Q. Sure. 17 heart out and then which other heart they're 18 MR. BRANTINGHAM: When you hit a break 18 going to take and put inside their body. That's 19 point, can we just take two minutes? 19 the patient's decision. 20 MR. THOMPSON: Yeah. 20 MR. BRANTINGHAM: Is that --21 21 MR. BRANTINGHAM: Is this a good What's the question, yes or no --22 22 MR. THOMPSON: Yeah. 23 MR. THOMPSON: No, just let me 23 MR. BRANTINGHAM: -- to that statement? 24 24 finish -- just let me finish this up. A. They always have the ability to turn 25 25 Q. There are risks associated with taking down an offer.

9 (Pages 30 to 33)

3 that was being offered for him was a DCD heart 3 THE REPOR	Page 36  OGRAPHER: We're on video.
2 Leopold, the question of whether a donor heart 2 THE VIDEO 3 that was being offered for him was a DCD heart 3 THE REPOR 4 would have been a significant factor to him; 4 MR. THOM	OGRAPHER: We're on video
that was being offered for him was a DCD heart 3 THE REPORT would have been a significant factor to him; 4 MR. THOM	
4 would have been a significant factor to him; 4 MR. THOM	
-	RTER: We're on the record.
ingit:	IPSON: Before we go back and
	Mr. Brantingham was conferring
	out in the hallway. I think
· · · · · · · · · · · · · · · · · · ·	ltiple times yesterday. In
	ernus' deposition, we took a
	reak, and when Mr. Brant
	ck, Mr. Brantingham asked Dr.
	transparently leading
	mately led to Dr. Ternus
- · · · · · · · · · · · · · · · · · · ·	different testimony than he
	ew minutes before. The law in
	ear, depositions are supposed
•	is testimony at trial and
·· · · · · · · · · · · · ·	allowed to confer with
	the course of the deposition.
, , ,	has asked me to cite him law on
_	e right off the top of our
	urity National Bank of Sioux
	Abbott Laboratories,
24 Q. And you didn't. 24 C11-4017-MWB.	That's out of the Northern
25 A. That's my understanding. 25 District of Iowa W	Vestern Division, July 28th,
Page 35	Page 37
	happy to provide Mr.
	dozens of other cases that say
3 Q. So again, whether it was a DCD heart or 3 the same thing.	
	ΓINGHAM: Can you give me a
5 he said if it's DCD, I don't want it; right? 5 cite for that?	
	PSON: You
	oogle Scholar. I just
8 <b>didn't wasn't ready to make a decision on it,</b> 8 gave you the case n	
	confer with a witness during
	ut their testimony. That is
	most basic rules, and it
12 <b>A. So I am not aware of changes that were</b> 12 has happened and i	_
	FINGHAM: Can you give me the
	at one more time and the
<u> </u>	PSON: Van Sagurity National
· · · · · · · · · · · · · · · · · · ·	PSON: Yep. Security National y versus Abbrot Abbott
	Number I think there might
	V I think it's CV
	's Judge Bennett, July 28th,
	of other cases that stand
	osition. You can't coach a
	're on if they're in the
	tion. You just can't do that.
	ΓINGHAM: And just for

10 (Pages 34 to 37)

Page 38 Page 40 1 MR. THOMPSON: And you clearly did --1 witnesses, when you start interrupting 2 Well just hold on. Let me finish. 2 witnesses, when you start trying to manipulate 3 MR. BRANTINGHAM: Sure. Go ahead. 3 witnesses, and by the way, when you spring 4 MR. THOMPSON: You clearly did that 4 discoverable information that you withheld on a 5 5 with Dr. Ternus. There is no way that he witness in order to manipulate him and affect 6 answers my questions, my very clear questions, 6 his testimony, I'm going to make an issue of it. 7 7 we take a break, you take him in the back room, So if you want to --8 you come back, ask him a bunch of leading 8 Again, the judge is waiting to talk to 9 questions, and he gives fundamentally different 9 us. If you want to get on the phone with the judge and ask him if I'm allowed to talk to my 10 testimony trying to walk back what he just told 10 11 me. And you're going to say on the record that 11 clients, let's do it, but I'm going to do what 12 you didn't talk to him about the questions that 12 I'm going to do. And if you think there's an 13 you were going to ask him? Are you really going 13 issue about that that needs to be addressed 14 to say that? 14 before we proceed through the rest of these, 15 15 MR. BRANTINGHAM: I'm not going to let's get it addressed. 16 answer questions about my privileged 16 MR. THOMPSON: No. I'm just putting on 17 conversations --17 the record that blackletter law says you cannot 18 18 coach a witness during a deposition when you MR. THOMPSON: I didn't -- I didn't 19 19 take a break. You say you've been doing this a think so. long time. I know you have. The fact that 20 MR. BRANTINGHAM: -- with my clients, 20 you've been doing this a long time and you don't 21 21 Brandon. MR. THOMPSON: I didn't think you'd be 22 know that is actually tremendously concerning. 22 23 2.3 MR. BRANTINGHAM: Okay. willing to go on the record saying that you 24 didn't talk with him about his testimony and 24 MR. THOMPSON: And your statement on the record is making it very clear that you did 25 about the questions you were about to ask him, 25 Page 39 Page 41 1 because you know full well that you did that and 1 coach the witness during breaks and you just 2 2 the transcript makes that transparently clear. think that you're allowed to do that because you 3 You also have been coaching these -- not this 3 disagree with my interpretation of the law. 4 guy today, that's -- I appreciate that --4 That's fine. You're wrong. I don't have to get 5 5 the judge on the phone. We're going to go ahead vesterday, particularly during Al -- Dr. Altarabsheh's deposition, the coaching of the 6 6 with this witness, and we'll go ahead with the 7 7 witness during the deposition. So I've made my other witnesses as well. I've made my record. 8 record. I hope it doesn't continue. 8 But Andrew, the fact that you think the 9 MR. BRANTINGHAM: Okay. I'd like to 9 law allows you to coach a witness in the middle 10 10 respond. I'd like to respond if I could. of a deposition during a break is ridiculous 11 MR. THOMPSON: Sure. 11 12 12 MR. BRANTINGHAM: I'm happy look at MR. BRANTINGHAM: I didn't say that 13 these cases. I'm looking at the one you just 13 that's what I'm doing. cited. I don't think it says what you claim it 14 MR. THOMPSON: You -- you absolutely --14 MR. BRANTINGHAM: You would love to says at all, and I guess we'll read the law and 15 15 16 we'll have a debate about it. I've been doing 16 believe that, and you also have no idea what 17 this for a long time. I know you have, too. 17 conversations we have. 18 Like this ain't my first rodeo, Brandon. I know 18 MR. THOMPSON: You're right, I don't, 19 it's not your first rodeo. I've made my 19 but the record makes it clear that you coached 20 objections. I'm comfortable with them. I'm 20 the witness, and the fact that you're not 21 21 comfortable with how I'm doing this. denying it makes that even more clear. 22 MR. THOMPSON: Well --22 So it's fine. Let's go ahead and 23 MR. BRANTINGHAM: You ask a lot of 23 continue with the deposition. deeply inappropriate questions and I'm going to 24 24 25 25 THE REPORTER: We're still on. Yep. object to them. And when you start shouting at

11 (Pages 38 to 41)

Page 42 Page 44 1 MR. THOMPSON: Oh great. Okay. I 1 MR. BRANTINGHAM: Foundation. 2 didn't know if you were on the video already. 2 A. I do not know for certain. He had 3 THE VIDEOGRAPHER: Yep. We're on 3 questions about everything, so it would seem 4 4 likely that he may. video. 5 5 BY MR. THOMPSON: Q. Did you know that the donor heart, that 6 6 Q. All right. Do you know anything about ultimately fell apart when Dr. Villavicencio 7 7 the donor heart that was attempted to be tried to transplant it, came from a meth addict? 8 8 transplanted into Noah? A. I had no knowledge of that. 9 9 Q. Do you think that would have been A. I have no knowledge of the donor heart. 10 10 something that Noah would have had some Q. Do you have any knowledge whatsoever of 11 what happened when Dr. Villavicencio tried to 11 questions about? 12 12 MR. BRANTINGHAM: Foundation. transplant that heart into Noah? 13 A. Not specific knowledge. It --13 A. I can't really say. I mean there are 14 I have a very base knowledge of what 14 limits to what can be shared about -- for donor 15 15 I've heard, that the heart initially looked anonymity, but I -- he may have been interested. 16 good, that something changed between when --16 Q. And interested probably not in a good 17 My understanding was a hematoma 17 way. Right? Like based on what you know about 18 18 Noah Leopold, he probably would have -- would developed at some point which made the donor heart that was previously viable no longer 19 19 not have been excited about the idea that the 20 viable. That is the extent of my knowledge 20 donor heart was coming from a meth addict. Can 21 21 of -- and that was all secondhand based on what we at least agree on that? 22 I had just heard. 22 MR. BRANTINGHAM: Foundation. 23 23 Q. Fair enough. A. I can't predict what he would have 24 Do you know anything about the OCS? 24 said, but he -- it's possible. 25 25 A. Very little. I know it is utilized to Q. It's not just possible. Can't you and Page 43 Page 45 1 1 support organs in transport. I do not know when I agree, based on what you know about Noah 2 2 it is opted to be used. It is just something in Leopold -- I'm not asking you to say with 3 the surgeon's toolkit, but I don't know the 3 certainty because of course you can't know 4 criteria for when it's used and how it 4 anything for certain -- can't you at least agree 5 5 with me that if Noah Leopold had found out that functions. I have never even seen the device. 6 6 I just know it exists and that they use it the heart they were planning on putting in his 7 7 sometimes. chest was coming from a meth addict, he would 8 8 Q. Is talking to patients about the have been concerned? 9 possibility that the OCS heart will be used part 9 MR. BRANTINGHAM: Foundation and asked 10 10 of what you do in your education? and answered. 11 A. Not usually. Every once in a while 11 A. I can't say --12 12 I can't tell you exactly what he someone will ask if a heart in a box is used and 13 13 I will just give a -- a generic, broad answer of would --14 "They can be utilized sometimes, but not 14 Q. I'm not asking you exact. I'm not 15 15 asking you certain. I'm asking you probably. always." 16 Q. And as for specific questions about the 16 Based on what you know about that guy, 17 risks and benefits of the use of the OCS heart. 17 come on, can't you agree that he probably would 18 you'd send the patient to somebody else to 18 have been concerned? 19 answer those questions. 19 MR. BRANTINGHAM: Same objections, 20 20 asked and answered a couple times now. Answer A. That's correct. 21 21 Q. All right. Based on what you know it one more time. 22 about Noah, if somebody had told him that the 22 A. I cannot tell you what he would have OCS heart was going to be used for his donor 23 23 been concerned about. 24 24 heart, do you think maybe he would have had some Q. I know you can't tell me what he would 25 25 have been concerned about. That's why I am questions about that?

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Page 46 Page 48 1 1 question that I didn't ask. I didn't ask him phrasing my question the way that I am, 2 "probably." He probably would have been 2 "Can you say with certainty that Noah Leopold 3 concerned. Or are you going to say, under oath, 3 would have been concerned." I asked him 4 that you can't say that this guy, who had more "probably." And if his answer to that is, "You 5 5 questions than anyone you've ever dealt with, know what, I can't even say that he probably 6 6 200 patients, that you don't think he would have would have been concerned," -- I think that that 7 7 been concerned about that heart coming from a answer, given his previous testimony about what 8 8 meth addict? he knows about this man, would be ridiculous --9 9 but that would be an answer to my question and MR. BRANTINGHAM: So I'm going to 10 10 I'll take it. Do you understand what I'm object. This is an example of one of those 11 questions, Brandon, that's totally 11 saying? MR. BRANTINGHAM: I hear the words 12 12 inappropriate. He's now answered the question 13 multiple times. 13 you're saying. 14 MR. THOMPSON: No. he hasn't. 14 MR. THOMPSON: But do you understand? 15 15 MR. BRANTINGHAM: You're just asking MR. BRANTINGHAM: I think you're wrong. 16 him the same questions and you're trying to 16 MR. THOMPSON: You can -- you can think 17 bully him into giving an answer that you want. 17 that all day long. 18 MR. THOMPSON: No. I want him to 18 MR. BRANTINGHAM: And what I'd like to 19 19 do is either you can ask the question you want answer --MR. BRANTINGHAM: You don't like the 20 20 to ask about "probably" and take the answer that 21 he gives you, ask it as clear as you can without 21 answer. 22 22 a lot of extra words trying to influence the MR. THOMPSON: I'm sorry. I would like 23 2.3 witness. Just ask the question, have him answer him to answer the questions I'm asking, not a 24 different question. You may think that he has. 24 it. Otherwise we can go back and read back the 25 25 I don't think that he has. And I'm going to last three or four questions. Page 47 Page 49 1 keep at it until I think I've gotten an 1 MR. THOMPSON: See, this is another 2 answer --2 example of you telling me how to conduct the 3 MR. BRANTINGHAM: Okay. 3 deposition. I don't need that. As -- as you 4 MR. THOMPSON: -- to my question. 4 recognize, I've been at this a long time, too. 5 5 MR. BRANTINGHAM: And I --I know how to conduct a deposition. 6 He's going to answer it one more time. 6 MR. BRANTINGHAM: Uh-huh. 7 7 MR. THOMPSON: He's going to --MR. THOMPSON: You may not like it, --8 MR. BRANTINGHAM: And if you're going 8 MR. BRANTINGHAM: Uh-huh. 9 9 MR. THOMPSON: -- I get that. But I to ans -- if you're going to ask the same 10 10 don't need your instruction or your advice about question over and over again, we will have to how to ask questions. If you have an objection, 11 call the judge --11 12 MR. THOMPSON: That's fine. make the objection. If you're going to instruct 12 13 MR. BRANTINGHAM: -- because there has 13 him not to answer, instruct him not to answer, 14 to be a limit. 14 but you better give the grounds for it. 15 MR. THOMPSON: I -- I would be -- I 15 BY MR. THOMPSON: 16 would be happy -- happy to get the judge on the 16 Q. So now I'm going ask my question again. 17 phone --17 And if my question is not capable of being 18 MR. BRANTINGHAM: Okay. 18 answered with a yes or a no, please let me know. 19 MR. THOMPSON: -- and have you explain 19 Okay? 20 to him how when I say "Can you agree that he 20 A. Okay. 21 probably would have been concerned about that," 21 Q. You understand? 22 Mr. Prince saying "I can't say with certainty 22 A. Yes. 23 what he would have said" is an answer to that 23 Q. Based on what you know about Noah 24 Leopold, can't you agree that he probably would question. It's not. It's not an answer to that 24 25 25 have been concerned if he had found out that question. It's an answer to a different

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Page 50 Page 52 1 Mayo was planning to transplant a heart that had 1 He would have had questions. 2 come from a meth addict? 2 Q. Okay. Do you think that he would have 3 MR. BRANTINGHAM: Object to foundation. 3 been concerned? 4 4 MR. BRANTINGHAM: Same objection. Go ahead. 5 5 A. Based on what I know about him, he had A. Maybe, yes. 6 many questions. He probably --6 Q. How about the fact that the donor had 7 7 Yes, he would have been interested. died of an intracranial hemorrhage brought on by 8 Q. "Concerned" was my question. 8 a meth overdose, can we say that Noah probably 9 A. He would have had questions. 9 would have been concerned about that? 10 Q. Also wasn't --10 MR. BRANTINGHAM: Same objections. 11 A. That is all I'm willing to say. 11 A. I can't say what he would be concerned 12 Q. You're not willing to say that he would 12 about. Ultimately all that matters is the 13 have been concerned, just that you think he 13 testing that shows the function of the heart. 14 would have had questions. 14 Q. That might be all that matters to the 15 MR. BRANTINGHAM: I think he just 15 doctors, but that's not necessarily all that 16 answered that, but go ahead. 16 matters to the patient; right? 17 MR. THOMPSON: I'm making sure, because 17 A. I can't speak for what would matter to 18 18 that was -him. 19 MR. BRANTINGHAM: Go ahead. 19 Q. I'm talking about based on your 20 MR. THOMPSON: -- because again, he's 20 knowledge of Noah Leopold. You already said 21 answering a different question than I'm asking. that you think, yeah, maybe he would have been 21 22 I didn't ask if he'd be interested. I didn't 22 concerned about the meth addict, yeah, maybe he 23 ask if he would have questions. I asked if he'd 23 would have had questions about the cigarette 24 be concerned. 24 smoking. Can't you agree that he probably would 25 MR. BRANTINGHAM: Go ahead. You can have been concerned if he had found out that 25 Page 51 Page 53 1 1 answer that question, Mr. Prince. this guy was a -- had died of an intracranial 2 2 A. Yes, I think he may have been hemorrhage brought on by a meth overdose? 3 concerned. 3 A. I am upfront with patients when they 4 Q. Same question with respect to the donor 4 come through evaluation. The -- the reason why 5 5 being a pack-and-a-half-a-day cigarette smoker. patients come to be a donor is oftentimes tragic 6 6 What does the CCTC on your name badge circumstances. I will tell them upfront it's 7 7 not uncommon for things to happen as a result of mean? 8 A. It is a credential of Certified 8 drug overdose, you know, by -- or it's just the 9 Clinical Transport Coordinator. 9 re -- one of the common reasons from my 10 10 Q. What is your background with respect to understanding. So I don't know of any reason 11 11 why the background as to why somebody ended up 12 12 being in that position would alter someone's A. I worked in the cardiac intens --13 13 medical intensive care unit for three and a half willingness to take that organ. 14 14 years prior to this role, cardiac surgery for Q. Then why do you talk to them about 15 15 six years prior -- recovery unit prior to that. hepatitis C? 16 Q. You and I can agree, it's pretty common 16 A. Because it is something that I am told 17 knowledge, that smoking cigarettes is really not 17 needs consent in order to ask and they need to 18 good for your heart; right? 18 learn about what's involved if we were to allow 19 19 them -- or if they were to allow us to look at A. Yes. 20 20 those offers. Q. Do you think that if Noah had found out 21 21 that the donor was a pack-and-a-half-a-day Q. Your understanding is that the 22 smoker, that would have been something he would 22 transplant surgeons would be perfectly 23 23 comfortable transplanting a hepatitis-C-positive have been interested in? 24 24 MR. BRANTINGHAM: Foundation. heart in most patients; right? 25 25 MR. BRANTINGHAM: Foundation. A. He may --

14 (Pages 50 to 53)

Page 54 Page 56 1 A. I don't know what they're -- what 1 MR. BRANTINGHAM: What's the Bates 2 they're comfortable with. They want to look at 2 number, please? 3 each individual offer and evaluate whether they 3 MR. THOMPSON: 25198. 4 feel it's appropriate. You'd have to ask them 4 A. I --5 5 what they're comfortable with. MR. BRANTINGHAM: Just object to 6 6 Q. The transplant surgeons were certainly foundation. 7 7 comfortable transplanting a hepatitis C donor in THE WITNESS: Yeah. 8 8 Noah, right, because you put into UNet that, MR. BRANTINGHAM: Go ahead. 9 ves, go ahead and send us offers for hepatitis C 9 A. I am not a surgeon. I -- I know that 10 10 hearts. it gets brought up frequently, but I don't know 11 MR. BRANTINGHAM: Foundation. 11 what the -- what the specific concerns are from 12 12 A. I'm not aware of that change since I a surgeon's standpoint. 13 didn't make it, so I can't speak to whether 13 Q. Do you remember having like an hourthey -- I mean you're telling me that they -and-a-half phone conversation with Noah where he 14 14 15 15 had sent you a list of, I don't know, like 25 they made that -- someone made that change, but 16 that was all after I stopped caring -- or he was 16 questions or something like that? 17 no longer under my care. 17 A. I do. 18 18 Q. Yeah. Q. Okay. The donor had meth and weed and 19 fentanyl and MDMA in his system on the tox 19 Do you remember telling him 20 screen at the donor hospital. Do you think Noah 20 "Truthfully, if our team had their way they 21 would have probably been concerned if he had 21 would have said you needed a liver, but that's 22 found that out? 22 not ultimately up to us?" 23 MR. BRANTINGHAM: Foundation. 23 A. Could you read that again? Q. Yeah. "Truthfully, if our team had 24 A. These are all the same questions. 24 25 Again, it's -- he would -- if -- if he 25 their way they would have said you needed a Page 55 Page 57 1 1 liver, but that's not ultimately up to us." was --2 2 He would have likely been concerned. A. Okay. 3 Q. What do you know about the discussions 3 MR. BRANTINGHAM: The question is do 4 regarding whether Noah was going to get a heart-4 you remember saying that. 5 5 liver transplant? O. Yeah. 6 6 A. I know that there -- it's -- it was A. I remember that -- not those exact 7 7 brought up at some point during the -- in words, but I remember that being part of the 8 working towards getting him listed for heart, 8 conversation. 9 that question was raised, and they -- the liver 9 Q. And if I'm understanding what you said 10 10 team, to my recollection, evaluated and -- and to him correctly, when you say "our team," you 11 11 mean the heart transplant folks; right? made their -- made their recommendations. 12 12 Q. The heart team wanted Noah to get a A. That would be what I was referring to. 13 Q. So if the heart transplant team had 13 liver; right? 14 their way, they, being the liver transplant 14 A. They -- they raised questions whether 15 team, would have said you needed a liver; right? 15 that was needed. It is common that they raise 16 that question and then we pursue a liver 16 A. That is my understanding of my 17 transplant evaluation to determine whether 17 statement. 18 that's the recommendation. 1 8 Q. But when you say "ultimately that's not 19 Q. Dr. Spencer on August 17th at 8:00 19 up to us," what you meant there is no matter how 20 o'clock in the morning sent an email to you, Dr. 20 much the heart transplant people want you to get 21 21 Clavell, Dr. Boilson, and Dr. Rosenbaum talking a liver, if the liver transplant people aren't 22 about the liver and saying "Heart surgeons get 22 willing to do the liver transplant, then you're uncomfortable operating on pts with liver 23 not going to get a liver transplant. 23 24 disease." Do you have any reason -- any idea MR. BRANTINGHAM: Object to form. 24 25 why he would say that? 25 A. Thev --

15 (Pages 54 to 57)

Page 58 Page 60 1 1 them and you want to kind of follow what's going The liver team independently decides. 2 I -- we have no authority to list a liver. So 2 3 if -- if our team has questions, they -- they 3 A. I can see it once in awhile. I'll just 4 4 look and see who's gotten offers accepted just are required to defer to the decision by the 5 5 to know if somebody's coming into the hospital. liver team. 6 But I don't -- I don't look into the details of 6 Q. Are you --7 7 Is part of your job involve -the offers. 8 8 (Discussion off the record.) Q. One of the things that Noah's family 9 9 had is a Mayo Clinic document that Noah got One of the things that we got in this 10 10 discovery process was a series of what are probably ten years before -called call logs or thoracic offer reports. Are 11 A. Okav. 11 12 12 Q. -- this all happened when he was first you familiar with those? 13 A. I am not. 13 consulting with the Mayo Clinic. 14 Q. Okay. I'm just going to show you one 14 A. Uh-huh. 15 15 of them to see if that looks meaningful to you, Q. And flip just a couple of pages here. 16 and if it's not, that's just fine. 16 There's --17 Have you ever seen something like this? 17 On the second page there's some general 18 18 comments about statistics at Mayo Rochester, and And this is Bates No. 25180 and 25182. 19 A. I personally have never seen any -- a 19 then there's -- on the next page there's kind of 20 20 a checklist. This to me looks like sort of just log like this. It's not part of my -- my 21 21 particular role. Yeah. So I couldn't speak to an educational document that's --22 as to what -- what that is exactly. 22 A. Uh-huh. Q. The --23 23 Q. -- provided. 24 Kind of the guideposts of your role, 24 Does Mayo still provide a document like 25 this to transplant patients? 25 when they first are sort of con -- when the Page 59 Page 61 1 patient is first consulting for a transplant, 1 A. Yes. So this is what we would call an 2 2 you get involved with them then and you're their SRTR report. They come out every six months, 3 liaison all the way through until they get the 3 they are available on SRTR.org, and patients 4 offer? 4 coming back for an evaluation, we provide them 5 5 at -- this at the beginning of the evaluation. A. Correct. In general that is the case, 6 6 until they're transplanted. You know, sometimes So this is a little -- looks a little different 7 7 we get offers that don't go through and then we than what we have now. This form is -- and I 8 continue to follow up. I am an outpatient 8 haven't looked at it closely, but it looks like 9 coordinator, so in the circumstances where a 9 similar to something that we provide now but 10 10 it's been kind of reformatted. patient goes into the hospital, then that would Q. It's in better font and not as squished 11 be one scenario where I am not the primary 11 12 12 maybe and things like that? contact person any more. 13 13 Q. So with respect to the offers that are MR. BRANTINGHAM: I note that there's 14 being made for organs, not only do you not have 14 no Bates number on that. I assume it's been 15 decision-making input into that, you're out of 15 produced. I --16 16 the loop on that entirely. MR. THOMPSON: Yes. 17 A. Not involved. I have access to 17 MR. BRANTINGHAM: -- think I've 18 DonorNet and can see if an offer's been 18 probably seen it, but --19 accepted, but I am not part of the process of 19 MR. THOMPSON: Yeah. You have it. 20 reviewing offers or any part of that 20 MR. BRANTINGHAM: -- for the record, 21 21 decision-making process as to whether it's can you identify, the best you can, just read 22 22 the title or something for the record, or if you 23 23 have the Bates number? Q. Sometimes you'll go on DonorNet and 24 24 look to see if an offer for one of your patients MR. THOMPSON: So it's -- we don't --25 has been accepted just because you care about 25 We didn't put Bates numbers on these.

16 (Pages 58 to 61)

Page 62 Page 64 1 There's an exhibit though. Right? 1 that survival following cardiac transplantation 2 (Discussion off the record.) 2 ranks among the highest in the country? 3 Yeah. She'll bring that up in a sec. 3 MR. BRANTINGHAM: Foundation. 4 I've got a question for you about this. 4 A. I don't --5 BY MR. THOMPSON: 5 I have not heard them say those words. 6 6 Q. The current version of this document I've -- I've --7 7 that you would be providing to patients would It's not in a document that I recall 8 8 have the current SRTR statistics on it? reading. I think that the -- generally what we 9 A. It would have the --9 refer to is our SRTR report. At least in my 10 10 experience, that's what I refer to as to our Yes, the most current one came out --11 well they come out in roughly January and July 11 performance. 12 12 of every year and they are the one-year survival Q. Got it. 13 outcomes the -- for the program for a period of 13 A. As to -- as to comparing it to 14 time in the past. 14 national, I don't -- I don't -- I don't recall 15 15 Q. One of the things that this document hearing us making comparisons to other programs. 16 says on the second page is "Survival following 16 Q. Like was being made at least as of 17 cardiac transplantation at Mayo Clinic 17 these comments in 2011. 18 18 Rochester/St. Mary's Hospital has been A. Yeah. I've never seen this document 19 consistently superior to the national average 19 before today, so I can't -- I -- that's not 20 and ranks among the highest in the country." Is 20 something that I recall seeing in our current 21 21 that still a claim that Mayo Rochester is form that we provide patients. Q. Is a document encompassing these SRTR 22 22 making? 23 MR. BRANTINGHAM: Object to foundation. 23 statistics something that is supposed to be 24 A. I have never seen --24 given to all heart-transplant patients as part 25 I do not recall ever reading that --2.5 of their education? Page 63 Page 65 that -- that -- that claim in current published 1 A. It's a standard part at the onset of 1 2 documents. In my recollection, I have seen many 2 the evaluation. 3 SRTR reports coming out, and based on comparing 3 Q. When you say "onset of the evaluation," 4 actual to national averages, in my recollection 4 for a guy like Noah, would that have meant well 5 5 we have maintained at or above the national you got data from July of 2012, so now that 6 6 standards in the time I've been here. we're admitting you in 2023, we're not going to 7 7 Q. The SRTR report group institutions into give you an update info? 8 tiers with respect to a number of different 8 A. So the whole point of me even arranging 9 statistics; right? 9 for him to have that video conference was to 10 A. I don't know what you mean by "tiers." 10 answer these questions. In fact, I had emailed 11 I'm not familiar with that. 11 him -- or I sent him a portal with attachments 12 MR. THOMPSON: Okay. For the record, 12 of the current form that would be the comparable 13 this was produced as part of Exhibit 10, which 13 form in an attachment to him preceding that. 14 was produced on August 1st. Let's go ahead --14 Q. Oh, okay. 15 15 A. So he had --Let's take a short break. 16 THE REPORTER: Okay. Off the record. 16 I had delivered an updated version of 17 (Recess taken from 10:13 a.m. to 10:15 17 this for him to read. Because he was living in 18 18 Florida, it wasn't easy for him to just come 19 THE VIDEOGRAPHER: We're on video. 19 back, but it was evident he had questions. If I 20 THE REPORTER: We're on the record. 20 did not feel -- you referenced the 27-question BY MR. THOMPSON: 21 21 message -- I didn't feel I could adequately 22 Q. Is it your understanding -- and I just 22 answer his questions in typing because I knew 23 23 want to make sure that I'm clear on this -- that that they would just lead to more questions, so Mayo continues -- Mayo Rochester continues to 24 24 I arranged for a virtual meeting to -- for the 25 represent to their heart-transplant patients 25 record, which is the only time I've ever done

17 (Pages 62 to 65)

	Page 66		Page 68
1	that because I recognized he needed like	1	CERTIFICATE
2	that would be the best way of communicating and	2	I, Nicole A. Huber, hereby certify that
3	I wanted to update him on the content of this	3	I am qualified as a verbatim shorthand reporter;
4	information.	4	that I took in stenographic shorthand the
5		5	
	Q. Got it. So there would have been a		testimony of NATHAN PRINCE at the time and place
6	document that you sent to Noah providing these	6	aforesaid; and that the foregoing transcript
7	statistics in advance of	7	consisting of 67 pages is a true and correct,
8	A. No. That document did not include the	8	full and complete transcription of said
9	actual statistics, because normally when we	9	shorthand notes, to the best of my ability.
10	provide these it's in person at the onset of the	10	Dated at Baxter, Minnesota, this 23rd
11	evaluation, but the document does reference	11	of August, 2024.
12	where they can find those statistics.	12	
13	Q. Got it.	13	
14	And is that document that you sent to	14	
15	Noah a standard Mayo Clinic document that	15	
16	somebody from Mayo would be able to find?	16	NICOLE A. HUBER
17	A. Yes, it's on our forms database.	17	Notary Public
18	MR. THOMPSON: Got it. Okay.	18	
19	Obviously we want a copy of that.	19	
20	MR. BRANTINGHAM: It's in the medical	20	
21	record. That's what he just said. It's sent to	21	
22	the patient. It's literally in the record.	22	
23	THE WITNESS: It's an attachment.	23	
24	MR. BRANTINGHAM: Yeah. It was	24	
25	portaled through like as an attachment.	25	
	Page 67		Page 69
1		1	
1 2	MR. THOMPSON: In the record that was	1 2	SIGNATURE PAGE
2	MR. THOMPSON: In the record that was produced.	2	SIGNATURE PAGE I, NATHAN PRINCE, the deponent, hereby
2 3	MR. THOMPSON: In the record that was produced.  MR. BRANTINGHAM: Yeah.	2 3	S I G N A T U R E P A G E  I, NATHAN PRINCE, the deponent, hereby certify that I have read the foregoing
2 3 4	MR. THOMPSON: In the record that was produced.  MR. BRANTINGHAM: Yeah.  MR. THOMPSON: Somewhere in those.	2 3 4	SIGNATURE PAGE I, NATHAN PRINCE, the deponent, hereby certify that I have read the foregoing transcript, consisting of 67 pages, and that
2 3 4 5	MR. THOMPSON: In the record that was produced.  MR. BRANTINGHAM: Yeah.  MR. THOMPSON: Somewhere in those.  Okay. Great.	2 3 4 5	SIGNATURE PAGE I, NATHAN PRINCE, the deponent, hereby certify that I have read the foregoing transcript, consisting of 67 pages, and that said transcript is a true and correct, full and
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18 (Pages 66 to 69)

A	admitting 65:6	48:4,7,9,20,23	attached 26:1	ballpark 17:11	
<b>a.m</b> 1:20 6:21,22	advance 66:7	49:13,13 51:1	69:7	Bank 36:22	
7:3 35:25 36:1	advice 15:7	65:10,22	attachment	37:17	
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